

PATENT Attorney Docket No. CYM-037

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Ostgaard et al.

SERIAL NO.:

10/008,379

**GROUP NO.:** 

Not Yet Assigned

FILING DATE:

November 5, 2001

**EXAMINER:** 

Not Yet Assigned

TITLE:

Cytological Imaging Systems and Methods

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 26<sup>th</sup> day of July, 2002.

Diane Racicot

Commissioner for Patents Washington, D.C. 20231

Sir:

### Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Information Disclosure Statement (2 pages);
- 3. Form PTO-1449 (5 page);
- 4. References Labeled A1-A92, B1, B2, C1;
- 5. and a return receipt postcard.



Application Serial No.:	10/008,379
Filing Date:	November 5, 2001
First Named Inventor	Ostgaard et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	CYM-037

		E	NCLOSURES (c	check all that apply)		
F	Fee Transmittal Form			to File Missing ation (PTO-1553)		Appeal Communication to Board of Patent Appeals and Interferences
	☐ Check Attached☐ Copy of Fee Transmittal Form		Formal Drawing	g(s)		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
	Amendment/Response		and Accompany Convert to a Pro			Status Letter
	☐ After Final		Application		⊠	Return Receipt Postcard
	☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson		Power of Attorn (Revocation of		$\boxtimes$	Certificate of First Class Mailing under 37 C.F.R. 1.8
	including Drawings [Total Sheets]		Terminal Discla	aimer		Additional Enclosure(s) (please identify below)
	Extension of Time Request		Executed Decla Attorney for Ut Patent Applicat			(productionary)
⊠	Information Disclosure Statement		Small Entity Sta	atement		
$\boxtimes$	PTO-1449 Copy of IDS Citations		Request for Ref	fund		
	Certified Copy of Priority Document(s)		After Allowanc to Group	e Communication		
	Response to Missing Parts/ Incomplete Application					
COR	RESPONDENCE ADDRESS	<u> </u>		SIGNATURE BL	OCK	
Direc	t all correspondence to: Patent A	dministrat	or			Respectfully submitted,
Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Date: July 26, 2002 Reg. No. 42,545 Tel. No.: (617) 248- Fax No.: (617) 248-	-7675	John V Forcier Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Towe 125 High Street Boston, MA 02110





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Ostgaard et al.

SERIAL NO.:

10/008,379

GROUP NO.:

Not Yet Assigned

FILING DATE:

October 29, 1999

**EXAMINER:** 

Not Yet Assigned

TITLE:

Cytological Imaging Systems and Methods

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. A copies of the references are enclosed.

### **REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

$\boxtimes$	(1)	within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
	(2)	after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
		the requisite Statement is below, OR
		the requisite fee under Rule 1.17(p), namely \$240.00, is included herein, or
	(3)	after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, AND
		Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, AND
		the requisite Statement is below, AND
		the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein

Information Disclosure Statement

Serial No.: 10/008,379

Page 2 of 2

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

#### **STATEMENT**

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- [E]ach item of information contained in the Information Disclosure 1. Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- [N]o item of information contained in the Information Disclosure 2. Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

### FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

Date: July 26, 2002 Reg. No. 42,545

Tel. No.: (617) 248-7675

Fax No.: (617) 248-7100

. Forcier.

Attorney for Applicants

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High Street Tower

125 High Street

Boston, Massachusetts 02110

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INFORMATION DISPLESSRE STATEMENT

ATTORNEY DOCKET NO.: CYM-037

APPLICANT(S): Ostgaard et al.

SERIAL NO.: 10/008,379

FILING DATE: November 5, 2001 GROUP: Not Yet Assigned

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE II APPROPRIATE
	Al	3,951,512	4/20/76	Tolles			
	A2	4,000,417	12/28/76	Adkisson et al.			
	A3	4,011,004	3/8/77	Levine et al.			
	A4	4,103,643	8/1/78	Staunton			
	A5	4,159,875	7/3/79	Hauser			
	A6	4,199,748	4/22/80	Bacus			
	A7	4,407,570	10/4/83	Hayasaka			
	A8	4,508,435	4/2/85	Graham et al.			
	A9	4,833,382	5/23/89	Gibbs			
	A10	4,902,101	2/20/90	Fujihara et al.			
	A11	4,965,725	10/23/90	Rutenberg			
	A12	5,000,554	3/19/91	Gibbs			
	A13	5,016,283	5/14/91	Bacus et al.			
	A14	5,257,182	10/26/93	Luck et al.			
	A15	5,287,272	2/15/94	Rutenberg et al.			
	A16	5,315,700	5/24/94	Johnston et al.			
	A17	5,333,207	7/26/94	Rutenberg			
-	A18	5,361,140	11/1/94	Hayenga et al.			
	A19	5,386,318	1/31/95	Kiihnert et al.			
	A20	5,408,535	4/18/95	Howard, III et al.			
	A21	5,427,910	6/27/95	Kamentsky et al.			
	A22	5,428,690	6/27/95	Bacus et al.			
	A23	5,473,706	12/5/95	Bacus et al.			
	A24	5,499,097	3/12/96	Ortyn et al.			
	A25	5,523,207	6/4/96	Kamentsky et al.			

INFORMATION DESCRIPSORE STATEMENT

ATTORNEY DOCKET NO.: CYM-037

APPLICANT(S): Ostgaard et al.

SERIAL NO.: 10/008,379

FILING DATE: November 5, 2001 GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A26	5,528,703	6/18/96	Lee			
	A27	5,532,874	7/2/96	Stein			
	A28	5,535,751	7/16/96	Rz			
	A29	5,557,097	9/17/96	Ortyn et al.			
-	A30	5,561,556	10/1/96	Weissman			
	A31	5,566,249	10/15/96	Rosenlof et al.			
	A32	5,581,487	12/3/96	Kelly et al.			
	A33	5,581,631	12/3/96	Ortyn et al.			
	A34	5,587,833	12/24/96	Kamentsky			
	A35	5,602,674	2/11/97	Weissman et al.			
	A36	5,619,428	4/8/97	Lee et al.			
<del></del> -	A37	5,621,519	4/15/97 .	Frost et al.			
	A38	5,625,705	4/29/97	Recht			
	A39	5,625,706	4/29/97	Lee et al.			
	A40	5,627,908	5/6/97	Lee et al.			
-	A41	5,629,766	5/13/97	Kaplan			
	A42	5,633,945	5/27/97	Kamentsky			
	A43	5,638,459	6/10/97	Rosenlof et al.			
	A44	5,642,433	6/24/97	Lee et al.			
	A45	5,642,441	6/24/97	Riley et al.			
	A46	5,647,025	7/8/97	Frost et al.			
	A47	5,654,535	8/5/97	Ortyn et al.	_		
	A48	5,655,029	8/5/97	Rutenberg et al.			

ATTORNEY DOCKET NO.: CYM-037

INFORMATION DISPLESURE STATEMENT

APPLICANT(S): Ostgaard et al.

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SERIAL NO.: 10/008,379

FILING DATE: November 5, 2001 GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER		DATE NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A49	5,659,421	8/19/97	Rahmel et al.			
	A50	5,671,288	9/23/97	Wilhelm et al.			
	A51	5,677,762	10/14/97	Orten et al.			
	A52	5,677,966	10/14/97	Doerrer et al.			
	A53	5,687,251	11/11/97	Erier et al.			
	A54	5,690,892	11/25/97	Babler et al.			
`	A55	5,694,212	12/2/97	Weissman			
	A56	5,715,327	2/3/98	Wilhelm et al.			
	A57	5,717,778	2/10/98	Chu et al.			
	A58	5,740,270	4/14/98	Rutenberg et al.			
	A59	5,760,387	6/2/98	Ortyn et al.			
	A60	5,763,871	6/9/98	Ortyn et al.			
	A61	5,768,013	6/16/98	Kraft		*	
	A62	5,781,667	7/14/98	Schmidt et al.			
-	A63	5,787,188	7/28/98	Nelson et al.			_
	A64	5,787,189	7/28/98	Lee et al.			
	A65	5,787,208	7/28/98	Oh et al.			
.,	A66	5,790,308	8/4/98	Kamentsky			
	A67	5,793,969	8/11/98	Kamentsky et al.			
	A68	5,797,130	8/18/98	Nelson et al.			
	A69	5,799,101	8/25/98	Lee et al.			
	A70	5,812,692	9/22/98	Rosenlof et al.			

INFORMATION DISCUSSIVE STATEMENT

ATTORNEY DOCKET NO.: CYM-037

APPLICANT(S): Ostgaard et al.

SERIAL NO.: 10/008,379

FILING DATE: November 5, 2001 GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A71	5,825,670	10/20/98	Chernoff et al.			
	A72	5,828,776	10/27/98	Lee et al.			:
	A73	5,833,794	11/10/98	Mayer			
	A74	5,835,620	11/10/98	Kaplan et al.			
	A75	5,841,124	11/24/98	Ortyn et al.			
	A76	5,867,610	2/2/99	Lee			
	A77	5,875,258	2/23/99	Ortyn et al.			
	A78	5,889,880	3/30/99	Doerrer et al.			
	A79	5,987,158	11/16/99	Meyer et al.			
	A80	6,007,996	12/28/99	McNamara et al.			
	A81	6,096,271	8/1/00	Bogen et al.			
	A82	6,134,354	10/1/00	Lee et al.			
	A83	6,148,096	11/14/00	Pressman et al.			
	A84	6,148,099	11/14/00	Lee et al.			
	A85	6,151,139	11/21/00	Haded et al.			
	A86	6,151,161	11/21/00	Mayer et al.			
	A87	6,180,061	1/30/01	Bogen et al.			
-	A88	6,183,693	2/6/01	Bogen et al.			
	A89	6,198,839	4/3/01	Kuan et al.			
	A90	6,226,392	5/1/01	Bacus et al.			
-	A91	6,249,593	6/19/01	Chu et al.			
	A92	6,327,377	12/4/01	Ruenberg et al.			

FORM P	-OT	- 1449	<i>A</i>	ATTORNEY DOCKET NO.: CYM-037						
		ON DISCHOEM	E /	APPLICANT(S): Ostgaard et al.						
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		FEET THADEMAN	FOREI	GN PATEN	T DOCU	MENTS				
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)	
	B1	WO 89/07255	8/10/89	PCT					Y	
	B2	WO 98/32004	7/23/98	PCT					Y	
			OTHER A	RT, JOURNA	AL ARTI	CLES, E	TC.			
EXAM. INIT.	ОТІ	HER DOCUMENTS	S: (Including	Author, Title,	Date, Rele	vant Pages	s, Place of I	Publication)		
	CI	"Electric Inking	g Systems" <u>ht</u>	tp://www.xa	andex.co	m/Produ	cts/inker	r/electric.htm	<u>1</u> , pp. 2	
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